

PATENT



2152  
4  
IBM/204  
Confirmation No. 9166

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Jennifer Anne Dervin et al. Art Unit: 2152  
Serial No.: 09/975,442 Examiner: Unknown  
Filed: October 11, 2001 Atty. Docket No.: IBM/204  
For: DYNAMIC CLUSTER VERSIONING FOR A GROUP

TRANSMITTAL

Assistant Commissioner for Patents  
Washington, DC 20231

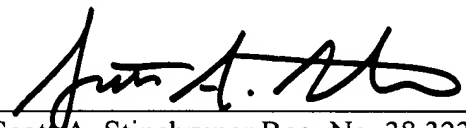
Sir:

We are transmitting herewith the attached:

Transmittal (in duplicate) containing Certificate of Mailing Under 37 CFR 1.8  
Information Disclosure Statement  
Substitute Form PTO-1449 (1 page)  
1 U. S. Patent Reference  
1 Other Art Reference  
Reply Postcard

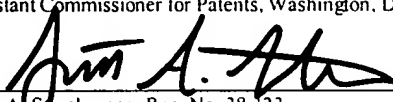
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2700 Carew Tower  
441 Vine Street  
Cincinnati, Ohio 45202  
(513) 241-2324

By:   
Scott A. Stinebruner Reg. No. 38,323

CERTIFICATE OF MAILING 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231 on November 26, 2001.

  
Scott A. Stinebruner Reg. No. 38,323

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TECHNICAL STAFF

**INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

In accordance with Applicants' duty of disclosure under the provisions of 37 C.F.R. § 1.56, the references listed on the enclosed Form PTO-1449 are brought to the attention of the Examiner. Copies of the references are also enclosed.

It is also brought to the attention of the Examiner the co-pending U.S. Serial No. 09/694,586, filed on October 23, 2000 by Block et al.

No representation is made that any of the references are "prior art" within the meaning of 35 U.S.C. § 102 or § 103. Moreover, any explanations herein are not to be taken as a representation that the references have been thoroughly reviewed. In particular, no representation as to the relative relevance of any portion of a reference is intended.

Consideration of the references and the remarks presented herein in the above-identified application is respectfully requested.

If there are any questions regarding this paper, or which might otherwise further this case onto allowance, please contact the undersigned at (513) 241-2324. Moreover, if any other

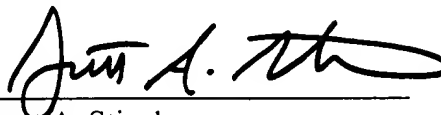
charges or credits are necessary to complete this communication, please apply them to Deposit

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26 NOV 2001

Date

Respectfully submitted,



Scott A. Stinebruner

Reg. No. 38,323

WOOD, HERRON & EVANS, L.L.P.

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SERIAL NO.  
09/975,442

INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

JAN 09 2002

APPLICANT  
Jennifer Anne Dervin et al.

Confirmation No.  
9166

FILING DATE  
October 11, 2001

GROUP  
2152

(37 CFR 1.98(b))

(Use several sheets if necessary)

### U.S. PATENT DOCUMENTS

EXAMINER INITIAL		PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	A.A	5 7 3 2 2 7 5	3/24/98	Kullick et al.	395	712	1/11/96
	A.B						
	A.C						
	A.D						
	A.E						
	A.F						
	A.G						
	A.H						
	A.I						
	A.J						
	A.K						

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### FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS

		DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION (YES/NO)
	A.L						
	A.M						
	A.N						
	A.O						
	A.P						
	A.Q						

### OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication)

	A.R	U.S. Patent Application Serial No. 09/694,586, entitled "Dynamic Modification of Cluster Communication Parameters in Clustered Computer System" filed on 10/23/2000 by Timothy Roy Block et al. (ROC920000192US1).
	A.S	
	A.T	

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not in conformance. Draw line through citation only if not in conformance and not considered. Include a copy of this form with next communication to applicant.